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14230 U.S. PTO

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PATENTS, TRADEMARKS, COPYRIGHTS

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19587 U.S. PTO  
10/822893

041204

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**UTILITY PATENT APPLICATION TRANSMITTAL  
FILED UNDER 37 C.F.R. §1.53(b)**

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Kaku IMURA**

Title: **MODIFIED BROWN RICE AND METHOD OF PRODUCING THE SAME**

**APPLICATION ELEMENTS:**

- (1) ☒ Patent Application Specification, including Abstract and claims - 31 pages
- (2) ☒ Sixteen (16) sheets of formal drawings, together with transmittal letter
- (3) ☒ A check in the amount of \$385 to cover the ☒ filing fee (\$385.00 ) and/or  
[ ] Assignment Recordal Fee (\$40) is enclosed.
- [ ] Before calculating the fee, cancel claim(s)
- [ ] Before calculating the fee, see copy of Preliminary Amendment filed in parent application (attached hereto.)
- ☒ **Prior to the calculation of the filing fee, please enter the Preliminary Amendment filed herewith.**

Basic Fee-SMALL ENTITY							\$	385.00
Multiple Dependent Claims (\$260.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	10	20	0	x	\$09.	=	\$ 0.00
CLAIMS	Independent Claims	1	3	0	x	\$43.	=	\$ 0.00
<b>TOTAL FILING FEE</b>							=	\$ 385.00

☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**Page 2 of 3**

- (4) ☒ Declaration and Power of Attorney form
- a. ☒ Newly executed
- b. ☐ Copy from a prior application
- c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.
- (5) ☒ Applicant claims Small Entity Status

**ACCOMPANYING APPLICATION PARTS:**

- (6) [ ] Assignment document
- a. [ ] Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
- b. [ ] Copy from a prior application
- c. [ ] Please include assignee information when this application is published
- Assignee:**
- (7) [X] Preliminary Amendment
- (8) [X] Certified Copy of Priority Document, together with separate transmittal letter
- (9) [ ] Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) [X] Return receipt postage prepaid postcard
- (11) [X] Express Mail Certificate (Mailing Label No. EV 129898477 US)
- (12) [X] Application Data Sheet
- (13) [ ] Other:
- a. [ ]

\_\_\_\_\_

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**Page 3 of 3**

- (14) [ ] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application no.:

Prior application information:

Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

Status: \_\_\_\_\_

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

- (15) [ ] Please amend the specification by inserting before the first line the sentence:

- (16) [ X ] This application claims the benefit of Japanese Patent Application No. 2003-160786 filed on June 5, 2003.

- (17) [ X ] Correspondence address:  
**Barry R. Lipsitz**  
**Law Offices of Barry R. Lipsitz**  
**755 Main Street, Building 8**  
**Monroe, CT 06468**  
**Telephone: (203) 459-0200**

Respectfully submitted,



\_\_\_\_\_  
Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street  
Monroe, CT 06468  
(203) 459-0200

**ATTORNEY DOCKET NO.: APL-138**  
**Date: April 12, 2004**

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kaku Imura

Application No.:

Filed: Herewith

For: **MODIFIED BROWN RICE AND METHOD OF PRODUCING THE SAME**

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number: EV 129898477 US

Date of Deposit: April 12, 2004

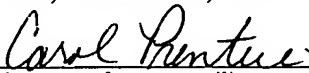
I hereby certify that the attached:

- ☒ Check in the amount of \$385 (Filing fee);
- ☒ Return receipt postage prepaid postcard;
- ☒ Transmittal letter for new patent application;
- ☒ Application Data Sheet;
- ☒ Preliminary Amendment;
- ☒ Patent Application Specification, including Abstract and Claims (31 pages);
- ☒ Sixteen (16) sheets of formal drawings, together with transmittal letter;
- ☒ Declaration and Power of Attorney form;
- ☒ Certified copy of priority document, Japanese Patent Application No. 2003-160786.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: **MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Carol Prentice

(Typed or printed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Respectfully submitted,



Date: **April 12, 2004**

ATTORNEY DOCKET NO.: **APL-138**

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street  
Monroe, CT 06468  
(203) 459-0200

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kaku Imura

Application No.:

Filed: Herewith

For: **MODIFIED BROWN RICE AND METHOD OF PRODUCING THE SAME**

**DRAWING REVIEW BRANCH**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV 129898477 US) addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 12, 2004.

By:

*Carol Prentice*  
Carol Prentice

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

Enclosed are sixteen (16) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

*Barry R. Lipsitz*

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Building 8  
Monroe, CT 06468  
(203) 459-0200

Date: April 12, 2004

**ATTORNEY DOCKET NO.: APL-138**